

# On the Necessity of Some Topological Spaces

Robert Lubarsky  
Florida Atlantic University

CM:FP 2023  
University of Niš  
Niš, Serbia  
26-30 June 2023

# Topological Semantics for Constructive Logic

The open sets  $\mathcal{O}$  of a topological space  $\mathcal{T}$  form a *Heyting algebra*, and so can be thought of as *truth values* or as *(forcing) conditions*.

# Topological Semantics for Constructive Logic

The open sets  $\mathcal{O}$  of a topological space  $\mathcal{T}$  form a *Heyting algebra*, and so can be thought of as *truth values* or as (*forcing*) *conditions*.

Notation:  $\llbracket \phi \rrbracket$  is the truth-value of  $\phi$ .

$$\llbracket \phi \wedge \psi \rrbracket = \llbracket \phi \rrbracket \cap \llbracket \psi \rrbracket$$

$$\llbracket \phi \vee \psi \rrbracket = \llbracket \phi \rrbracket \cup \llbracket \psi \rrbracket$$

$$\llbracket \neg \phi \rrbracket = \text{int}(\mathcal{T} \setminus \llbracket \phi \rrbracket)$$

$$\llbracket \phi \rightarrow \psi \rrbracket = \bigcup \{ \mathcal{O} \mid \mathcal{O} \cap \llbracket \phi \rrbracket \subseteq \llbracket \psi \rrbracket \}$$

# Topological Semantics for Constructive Logic

The open sets  $\mathcal{O}$  of a topological space  $\mathcal{T}$  form a *Heyting algebra*, and so can be thought of as *truth values* or as (*forcing*) *conditions*.

Notation:  $\llbracket \phi \rrbracket$  is the truth-value of  $\phi$ .

$$\llbracket \phi \wedge \psi \rrbracket = \llbracket \phi \rrbracket \cap \llbracket \psi \rrbracket$$

$$\llbracket \phi \vee \psi \rrbracket = \llbracket \phi \rrbracket \cup \llbracket \psi \rrbracket$$

$$\llbracket \neg \phi \rrbracket = \text{int}(\mathcal{T} \setminus \llbracket \phi \rrbracket)$$

$$\llbracket \phi \rightarrow \psi \rrbracket = \bigcup \{ \mathcal{O} \mid \mathcal{O} \cap \llbracket \phi \rrbracket \subseteq \llbracket \psi \rrbracket \}$$

Notation:  $\mathcal{O} \subseteq \llbracket \phi \rrbracket$  iff  $\mathcal{O} \leq \llbracket \phi \rrbracket$  iff  $\mathcal{O} \Vdash \phi$

## Example: Getting Excluded Middle to Fail

Law of the Excluded Middle:  $A \vee \neg A$

## Example: Getting Excluded Middle to Fail

Law of the Excluded Middle:  $A \vee \neg A$   
Sierpinski space

## Example: Getting Excluded Middle to Fail

Law of the Excluded Middle:  $A \vee \neg A$   
Sierpinski space

### Theorem

$\mathcal{T} \not\models \text{LEM}$  iff Sierpinski space is a quotient space of  $\mathcal{T}$ .

## Example: Getting Weak Excluded Middle to Fail

Weak Law of the Excluded Middle:  $\neg A \vee \neg\neg A$



## Example: Getting Weak Excluded Middle to Fail

Weak Law of the Excluded Middle:  $\neg A \vee \neg\neg A$   
three-point Sierpinski space

## Example: Getting Weak Excluded Middle to Fail

Weak Law of the Excluded Middle:  $\neg A \vee \neg\neg A$   
three-point Sierpinski space

### Theorem

$\mathcal{T} \models \text{WLEM}$  iff three-point Sierpinski space is a quotient space of  $\mathcal{T}$ .

## Example: Getting Extensions of Weak Excluded Middle to Fail

$\text{WLEM}_\omega$ : For any countable sequence of propositions, if it is impossible that for any distinct pair of them both are true, then one of them must be false:  $\neg[\bigvee_{i,j \in \omega, i \neq j} (A_i \wedge A_j)] \rightarrow \bigvee_i \neg A_i$ .

## Example: Getting Extensions of Weak Excluded Middle to Fail

$\text{WLEM}_\omega$ : For any countable sequence of propositions, if it is impossible that for any distinct pair of them both are true, then one of them must be false:  $\neg[\bigvee_{i,j \in \omega, i \neq j} (A_i \wedge A_j)] \rightarrow \bigvee_i \neg A_i$ .  
Let  $\omega^+$  be  $\omega \cup \{*\}$ . Let the *coarse topology* on  $\omega^+$  be the discrete topology on  $\omega$  and the only open neighborhood of  $*$  is the entire space.

## Example: Getting Extensions of Weak Excluded Middle to Fail

$WLEM_\omega$ : For any countable sequence of propositions, if it is impossible that for any distinct pair of them both are true, then one of them must be false:  $\neg[\bigvee_{i,j \in \omega, i \neq j} (A_i \wedge A_j)] \rightarrow \bigvee_i \neg A_i$ .  
Let  $\omega^+$  be  $\omega \cup \{*\}$ . Let the *coarse topology* on  $\omega^+$  be the discrete topology on  $\omega$  and the only open neighborhood of  $*$  is the entire space.

### Theorem

$\mathcal{T} \not\models WLEM_\omega$  iff  $\omega^+$  with the coarse topology is a quotient space of  $\mathcal{T}$ .

## LPO and LLPO

LPO, the Limited Principle of Omniscience: Every binary sequence is either all 0s or has a 1 in it.

LLPO, the Lesser Limited Principle of Omniscience: Every binary sequence with at most one 1 has either all the even slots 0 or all the odds 0.

## LPO and LLPO

LPO, the Limited Principle of Omniscience: Every binary sequence is either all 0s or has a 1 in it.

LLPO, the Lesser Limited Principle of Omniscience: Every binary sequence with at most one 1 has either all the even slots 0 or all the odds 0.

Let  $\mathcal{U}$  be a non-principal ultrafilter on  $\omega$ . The  $\mathcal{U}$ -induced ultrafilter topology on  $\omega$  is discrete on  $\omega$ , and the open sets containing  $*$  are exactly sets of the form  $\{*\} \cup u$ , where  $u \in \mathcal{U}$ .

## LPO and LLPO

LPO, the Limited Principle of Omniscience: Every binary sequence is either all 0s or has a 1 in it.

LLPO, the Lesser Limited Principle of Omniscience: Every binary sequence with at most one 1 has either all the even slots 0 or all the odds 0.

Let  $\mathcal{U}$  be a non-principal ultrafilter on  $\omega$ . The  $\mathcal{U}$ -induced ultrafilter topology on  $\omega$  is discrete on  $\omega$ , and the open sets containing  $*$  are exactly sets of the form  $\{*\} \cup u$ , where  $u \in \mathcal{U}$ .

### Theorem

*The ultrafilter topology forces LLPO and not LPO.*



## LPO and LLPO

LPO, the Limited Principle of Omniscience: Every binary sequence is either all 0s or has a 1 in it.

LLPO, the Lesser Limited Principle of Omniscience: Every binary sequence with at most one 1 has either all the even slots 0 or all the odds 0.

Let  $\mathcal{U}$  be a non-principal ultrafilter on  $\omega$ . The  $\mathcal{U}$ -induced ultrafilter topology on  $\omega$  is discrete on  $\omega$ , and the open sets containing  $*$  are exactly sets of the form  $\{*\} \cup u$ , where  $u \in \mathcal{U}$ .

### Theorem

*If  $x \in \mathcal{T}$  forces LLPO and does not force LPO, then a subspace of  $\mathcal{T}$  has a quotient space which is an ultrafilter topology on  $\omega$ .*

(To say  $x$  forces  $\phi$  means some neighborhood of  $x$  forces  $\phi$ , and that  $x$  does not force  $\phi$  means no neighborhood of  $x$  forces  $\phi$ .)

## MP and WMP

MP, Markov's Principle: If it is impossible for every value in a binary sequence to be 0, then there is one value which is 1.

WMP, Weak Markov's Principle:

$$\forall \gamma [\forall \beta (\neg \exists n (\beta(n) = 1) \vee \neg \exists n (\gamma(n) = 1 \wedge \beta(n) = 0)) \rightarrow \exists n \gamma(n) = 1].$$

## MP and WMP

MP, Markov's Principle: If it is impossible for every value in a binary sequence to be 0, then there is one value which is 1.

WMP, Weak Markov's Principle:

$$\forall \gamma [\forall \beta (\neg \neg \exists n (\beta(n) = 1) \vee \neg \neg \exists n (\gamma(n) = 1 \wedge \beta(n) = 0)) \rightarrow \exists n \gamma(n) = 1].$$

### Theorem

*If  $x \in \mathcal{T}$  forces WMP and does not force MP, then it is dense at  $x$  that there is a quotient space which is a non-principal non-ultra filter topology on  $\omega$ .*

(To say that a property  $P$  holds densely at  $x$  means every open set containing  $x$  has an open subset satisfying  $P$ .)

## When Is There a New Real?

Real = Dedekind cut

In the topological model over  $\mathcal{T}$ , a real number is given by a continuous function from  $\mathcal{T}$  to  $\mathbb{R}$ .

## When Is There a New Real?

Real = Dedekind cut

In the topological model over  $\mathcal{T}$ , a real number is given by a continuous function from  $\mathcal{T}$  to  $\mathbb{R}$ .

Example: Let  $\mathcal{T}$  be  $\mathbb{R}$  itself. Consider the identity function  $\text{Id}$ .

### Theorem

(Fourman-Hyland)  $\mathbb{R} \Vdash$  “ $\text{Id}$  does not equal any ground model real.”

## When Is There a New Real?

Real = Dedekind cut

In the topological model over  $\mathcal{T}$ , a real number is given by a continuous function from  $\mathcal{T}$  to  $\mathbb{R}$ .

Example: Let  $\mathcal{T}$  be  $\mathbb{R}$  itself. Consider the identity function  $\text{Id}$ .

### Theorem

(Fourman-Hyland)  $\mathbb{R} \Vdash$  “ $\text{Id}$  does not equal any ground model real.”

Question: When does  $\mathcal{T}$  force there to be a new real?

# When Is There a New Real?

## Definition

$\mathcal{T}$  is **functionally Hausdorff** if, for all  $x, y \in \mathcal{T}$ , there is a continuous  $f : \mathcal{T} \rightarrow \mathbb{R}$  such that  $f(x) \neq f(y)$ .

# When Is There a New Real?

## Definition

$\mathcal{T}$  is **functionally Hausdorff** if, for all  $x, y \in \mathcal{T}$ , there is a continuous  $f : \mathcal{T} \rightarrow \mathbb{R}$  such that  $f(x) \neq f(y)$ .

## Theorem

*Every topological space has a canonical, universal, functionally Hausdorff quotient space.*



## When Is There a New Real?

### Definition

$\mathcal{T}$  is **functionally Hausdorff** if, for all  $x, y \in \mathcal{T}$ , there is a continuous  $f : \mathcal{T} \rightarrow \mathbb{R}$  such that  $f(x) \neq f(y)$ .

### Lemma

*If  $\mathcal{T}$  is functionally Hausdorff and  $X$  is a countable subset of  $\mathcal{T}$ , then there is a continuous function from  $\mathcal{T}$  to  $\mathbb{R}$  which is one-to-one on  $X$ .*

## When Is There a New Real?

### Definition

$\mathcal{T}$  is **functionally Hausdorff** if, for all  $x, y \in \mathcal{T}$ , there is a continuous  $f : \mathcal{T} \rightarrow \mathbb{R}$  such that  $f(x) \neq f(y)$ .

### Lemma

*If  $\mathcal{T}$  is functionally Hausdorff and  $X$  is a countable subset of  $\mathcal{T}$ , then there is a continuous function from  $\mathcal{T}$  to  $\mathbb{R}$  which is one-to-one on  $X$ .*

### Theorem

*If  $\mathcal{T}$  is functionally Hausdorff and separable, then  $\mathcal{T} \Vdash$  “There is a real which is not in the ground model.” iff points in  $\mathcal{T}$  are not open.*

# Regular Cauchy Sequences

## Definition

$\mathcal{T}$  has **covering dimension 0** if every open cover has a refinement which is a cover of disjoint clopens.

## Regular Cauchy Sequences

### Definition

$\mathcal{T}$  has **covering dimension 0** if every open cover has a refinement which is a cover of disjoint clopens.

### Definition

$\mathcal{T}$  has **functional covering dimension 0** if, for every continuous  $g : \mathcal{T} \rightarrow \mathbb{R}$  and every open cover  $\{\mathcal{U}_i \mid i \in I\}$  of  $\mathbb{R}$ ,  $\{g^{-1}(\mathcal{U}_i) \mid i \in I\}$  has a refinement which is a cover of disjoint clopens.

## Regular Cauchy Sequences

### Definition

$\mathcal{T}$  has **functional covering dimension 0** if, for every continuous  $g : \mathcal{T} \rightarrow \mathbb{R}$  and every open cover  $\{\mathcal{U}_i \mid i \in I\}$  of  $\mathbb{R}$ ,  $\{g^{-1}(\mathcal{U}_i) \mid i \in I\}$  has a refinement which is a cover of disjoint clopens.

### Definition

$\mathcal{T}$  has **local functional covering dimension 0** if, for every continuous  $g : \mathcal{T} \rightarrow \mathbb{R}$  there is an open cover  $\{\mathcal{O}_j \mid j \in J\}$  of  $\mathcal{T}$  such that, for every  $j \in J$  and open cover  $\{\mathcal{U}_i \mid i \in I\}$  of  $\mathbb{R}$ ,  $\{g^{-1}(\mathcal{U}_i) \mid i \in I\}$  has a refinement of disjoint clopens which covers  $\mathcal{O}_j$ .

## Results

### Theorem

*$\mathcal{T}$  forces the Dedekind, Cauchy, and regular Cauchy reals to be equal iff each open set of  $\mathcal{T}$  has local functional covering dimension 0.*

## Results

### Theorem

*$\mathcal{T}$  forces the Dedekind, Cauchy, and regular Cauchy reals to be equal iff each open set of  $\mathcal{T}$  has local functional covering dimension 0.*

### Theorem

*$\mathcal{T}$  forces the Dedekind and regular Cauchy reals to be unequal iff the open sets which are not of local functional covering dimension 0 are dense.*

## Results

### Theorem

*$\mathcal{T}$  forces the Dedekind, Cauchy, and regular Cauchy reals to be equal iff each open set of  $\mathcal{T}$  has local functional covering dimension 0.*

### Theorem

*$\mathcal{T}$  forces the Dedekind and regular Cauchy reals to be unequal iff the open sets which are not of local functional covering dimension 0 are dense.*

### Theorem

*$\mathcal{T}$  forces there is a real which is not a regular Cauchy real iff  $\mathcal{T}$  has an open cover of which each member  $\mathcal{O}$  has a hereditary witness that  $\mathcal{O}$  is not of local functional covering dimension 0.*



## Questions

- ▶ How can you characterize the failure, or the satisfaction, of other principles of analysis, such as BD-N?

## Questions

- ▶ How can you characterize the failure, or the satisfaction, of other principles of analysis, such as BD-N?
- ▶ Some logical principles are, under Dependent Choice, equivalent with principles of the reals. For instance, under DC, LPO is equivalent with the decidability of equality on  $\mathbb{R}$ . How could the difference between the logical and analytical versions be characterized topologically?

## Questions

- ▶ How can you characterize the failure, or the satisfaction, of other principles of analysis, such as BD-N?
- ▶ How could the difference between the logical and analytical versions of principles be characterized topologically?
- ▶ How can we refine these results? For instance, we extracted the coarse topology on  $\omega^+$  from  $\neg\text{WLEM}_\omega$ , but actually this topology also satisfies LPO. What if we assume not only  $\neg\text{WLEM}_\omega$  but also LPO? Similarly, we got the ultrafilter topology by assuming  $\text{LLPO} + \neg\text{LPO}$ ; actually, in that model,  $\text{WLEM}$  holds and  $\text{WMP}$  fails. What more could we conclude about the topology from  $\text{WLEM} + \neg\text{WMP}$ ?